

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
00/927 408	08/10/2001	Volker Gerdts	0000.0058

20855  
ROBINS & PASTERNAK LLP  
90 MIDDLEFIELD ROAD  
SUITE 200  
MENLO PARK, CA 94025

CONFIRMATION NO. 6385

## FORMALITIES LETTER



\*OC000000006562433\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

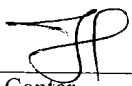
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 5 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1010.**

01/18/2002 BARRAHAI 00000011 09927408

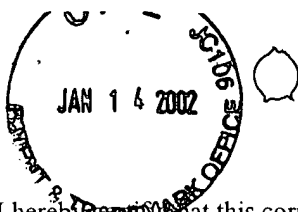
01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	45.00 OP
04 FC:202	42.00 OP

A copy of this notice **MUST** be returned with the reply.
  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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11/15/01  
Date

Susan LaMont  
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

GERDTS et al.

Confirmation No.: 6385

Serial No.: 09/927,408

Group Art Unit: 1642

Filing Date: August 10, 2001

Examiner: Unassigned

Title: IN UTERO ORAL NUCLEIC ACID IMMUNIZATION

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated September 17, 2001. Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; and a Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account. Applicants claim small entity status. Also enclosed is a check in the amount of \$522 (surcharge, \$65; statutory basic filing fee, \$370; additional claim fees, \$87) to cover the fees required.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/15/01

By: [Signature]  
Roberta L. Robins  
Registration No. 33,208

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
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Susan LaMont  
Signature

In Re Application of:

GERDTS et al.

Serial No.: 09/927,408

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Filing Date: August 10, 2001

Examiner: Unassigned

Title: IN UTERO ORAL NUCLEIC ACID IMMUNIZATION

**BLANKET PETITION FOR EXTENSION OF TIME AND  
AUTHORIZATION TO CHARGE OR CREDIT DEPOSIT ACCOUNT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

If a paper is untimely filed in this application or any file wrapper continuation application derived therefrom by applicant(s) or her/his/their representative, the Commissioner is hereby petitioned under 37 C.F.R. § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 C.F.R. § 1.17(a)-(d) to Deposit Account No. 18-1648. This, however, is not authorization to pay the issue fee.

If a paper is concurrently or subsequently filed in this application or any file wrapper continuation application derived therefrom by applicant(s) or her/his/their representative and a fee under 37 C.F.R. §§ 1.16-1.17 is required to effect any amendment, petition or other action requested in said paper, the Commissioner is hereby requested to charge any deficiency in said fee, or credit any overpayment of said fee, to Deposit Account No. 18-1648. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

Date: 11/15/01

By: [Signature]  
Roberta L. Robins  
Registration No. 33,208

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